

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

HSY

**USCA SECURITIES LLC
4444 WESTHEIMER RD
SUITE G500
HOUSTON, TX 77027**

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2018

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	90.29%
Limit orders as percentage of total limit orders	7.22%
Other orders as percentage of total other orders	2.48%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	44.46%
2. G1 Execution Services, LLC	16.81%
3. VIRTU Americas LLC	14.60%
4. National Financial Services LLC	10.49%
5. Two Sigma Securities, LLC	5.79%
6. New York Stock Exchange	2.93%
7. NASDAQ Execution Services, LLC	1.59%
8. Cboe EDGX U.S. Equities Exchange	1.07%
9. UBS Securities, LLC	0.75%
10. Credit Suisse Securities (USA) LLC	0.50%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	47.89%
Limit orders as percentage of total limit orders	16.64%
Other orders as percentage of total other orders	0.82%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.16%
Limit orders as percentage of total limit orders	5.78%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.46%
Limit orders as percentage of total limit orders	5.22%
Other orders as percentage of total other orders	10.66%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.39%
Limit orders as percentage of total limit orders	0.56%
Other orders as percentage of total other orders	6.56%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.25%
Limit orders as percentage of total limit orders	2.12%
Other orders as percentage of total other orders	0.00%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	32.58%
Other orders as percentage of total other orders	23.36%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	21.58%
Other orders as percentage of total other orders	1.23%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.53%
Other orders as percentage of total other orders	0.82%

9. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.78%
Limit orders as percentage of total limit orders	0.28%
Other orders as percentage of total other orders	1.23%

10. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	20.08%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	92.08%
Limit orders as percentage of total limit orders	7.49%
Other orders as percentage of total other orders	0.43%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	51.27%
2. VIRTU Americas LLC	15.23%
3. G1 Execution Services, LLC	14.88%
4. Two Sigma Securities, LLC	5.96%
5. National Financial Services LLC	5.57%
6. NASDAQ Execution Services, LLC	4.54%
7. UBS Securities, LLC	1.08%
8. Cboe EDGX U.S. Equities Exchange	0.96%
9. U.S. CA Securities LLC	0.39%
10. Cboe BZX U.S. Equities Exchange	0.08%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	54.48%
Limit orders as percentage of total limit orders	13.93%
Other orders as percentage of total other orders	14.29%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.94%
Limit orders as percentage of total limit orders	7.38%
Other orders as percentage of total other orders	0.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.07%
Limit orders as percentage of total limit orders	1.09%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.45%
Limit orders as percentage of total limit orders	0.27%
Other orders as percentage of total other orders	0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.82%
Limit orders as percentage of total limit orders	2.46%
Other orders as percentage of total other orders	4.76%

6. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	60.66%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.13%
Limit orders as percentage of total limit orders	0.27%
Other orders as percentage of total other orders	4.76%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	12.84%
Other orders as percentage of total other orders	0.00%

9. U.S. CA Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.11%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	66.67%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.09%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	98.29%
Limit orders as percentage of total limit orders	1.05%
Other orders as percentage of total other orders	0.66%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	52.96%
2. G1 Execution Services, LLC	18.52%
3. VIRTU Americas LLC	17.56%
4. Two Sigma Securities, LLC	8.21%
5. National Financial Services LLC	0.68%
6. UBS Securities, LLC	0.68%
7. NYSE Arca	0.28%
8. Cboe EDGX U.S. Equities Exchange	0.22%
9. NASDAQ Execution Services, LLC	0.20%
10. U.S. CA Securities LLC	0.18%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	53.76%
Limit orders as percentage of total limit orders	10.42%
Other orders as percentage of total other orders	0.00%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.80%
Limit orders as percentage of total limit orders	4.17%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.71%
Limit orders as percentage of total limit orders	10.42%
Other orders as percentage of total other orders	6.67%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.35%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.65%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	6.67%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.67%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	3.33%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	27.08%
Other orders as percentage of total other orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.83%
Other orders as percentage of total other orders	0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	18.75%
Other orders as percentage of total other orders	0.00%

10. U.S. CA Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.07%
Limit orders as percentage of total limit orders	2.08%
Other orders as percentage of total other orders	13.33%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	85.04%
Limit orders as percentage of total limit orders	14.96%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	41.49%
2. NASDAQ PHLX Options	23.13%
3. BOX Option Exchange	7.20%
4. The MIAX Options Exchange	5.92%
5. NYSE American Options	4.54%
6. MIAX Pearl Exchange	4.05%
7. NASDAQ Options Market	3.31%
8. ISE Gemini Options Exchange	2.35%
9. NYSE Arca Options	2.22%
10. International Securities Exchange	1.93%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	44.86%
Limit orders as percentage of total limit orders	22.37%
Other orders as percentage of total other orders	0.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.70%
Limit orders as percentage of total limit orders	14.19%
Other orders as percentage of total other orders	0.00%

3. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.06%
Limit orders as percentage of total limit orders	2.37%
Other orders as percentage of total other orders	0.00%

4. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.86%
Limit orders as percentage of total limit orders	6.24%
Other orders as percentage of total other orders	0.00%

5. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.84%
Limit orders as percentage of total limit orders	2.80%
Other orders as percentage of total other orders	0.00%

6. MIAX Pearl Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.43%
Limit orders as percentage of total limit orders	1.94%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.83%
Limit orders as percentage of total limit orders	17.42%
Other orders as percentage of total other orders	0.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.87%
Limit orders as percentage of total limit orders	10.75%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.72%
Limit orders as percentage of total limit orders	10.75%
Other orders as percentage of total other orders	0.00%

10. International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.19%
Limit orders as percentage of total limit orders	0.43%
Other orders as percentage of total other orders	0.00%