

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

HSY

**USCA SECURITIES LLC
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SUITE G500
HOUSTON, TX 77027**

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.27%
Limit orders as percentage of total non-directed orders	4.90%
Other orders as percentage of total non-directed orders	0.83%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	49.53%
2. VIRTU Americas LLC	30.42%
3. National Financial Services LLC	9.02%
4. G1 Execution Services, LLC	5.21%
5. UBS Securities, LLC	1.72%
6. Two Sigma Securities, LLC	1.04%
7. New York Stock Exchange	0.94%
8. Cboe EDGX U.S. Equities Exchange	0.65%
9. U.S. CA Securities LLC	0.62%
10. NASDAQ Execution Services, LLC	0.51%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	50.95%
Limit orders as percentage of total non-directed orders	30.48%
Other orders as percentage of total non-directed orders	0.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	31.34%
Limit orders as percentage of total non-directed orders	14.32%
Other orders as percentage of total non-directed orders	20.55%

3. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	9.52%
Limit orders as percentage of total non-directed orders	0.92%
Other orders as percentage of total non-directed orders	0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.25%
Limit orders as percentage of total non-directed orders	5.31%
Other orders as percentage of total non-directed orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.79%
Limit orders as percentage of total non-directed orders	0.69%
Other orders as percentage of total non-directed orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.04%
Limit orders as percentage of total non-directed orders	1.15%
Other orders as percentage of total non-directed orders	0.00%

7. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	17.78%
Other orders as percentage of total non-directed orders	8.22%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	12.93%
Other orders as percentage of total non-directed orders	1.37%

9. U.S. CA Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.04%
Limit orders as percentage of total non-directed orders	4.39%
Other orders as percentage of total non-directed orders	45.21%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	10.39%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	89.12%
Limit orders as percentage of total non-directed orders	10.41%
Other orders as percentage of total non-directed orders	0.47%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	48.29%
2. VIRTU Americas LLC	27.15%
3. NASDAQ Execution Services, LLC	8.40%
4. National Financial Services LLC	6.36%
5. G1 Execution Services, LLC	5.44%
6. UBS Securities, LLC	1.91%
7. Two Sigma Securities, LLC	0.99%
8. Cboe EDGX U.S. Equities Exchange	0.79%
9. U.S. CA Securities LLC	0.57%
10. State Street Global Markets, LLC	0.05%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	53.43%
Limit orders as percentage of total non-directed orders	5.25%
Other orders as percentage of total non-directed orders	26.32%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	30.02%
Limit orders as percentage of total non-directed orders	3.58%
Other orders as percentage of total non-directed orders	5.26%

3. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	80.67%
Other orders as percentage of total non-directed orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	7.13%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

5. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	6.10%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.12%
Limit orders as percentage of total non-directed orders	0.24%
Other orders as percentage of total non-directed orders	0.00%

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.09%
Limit orders as percentage of total non-directed orders	0.24%
Other orders as percentage of total non-directed orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	7.64%
Other orders as percentage of total non-directed orders	0.00%

9. U.S. CA Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.06%
Limit orders as percentage of total non-directed orders	1.91%
Other orders as percentage of total non-directed orders	68.42%

10. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.06%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	93.39%
Limit orders as percentage of total non-directed orders	6.13%
Other orders as percentage of total non-directed orders	0.49%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	54.99%
2. VIRTU Americas LLC	31.36%
3. G1 Execution Services, LLC	6.26%
4. UBS Securities, LLC	1.85%
5. Cboe EDGX U.S. Equities Exchange	1.78%
6. National Financial Services LLC	1.13%
7. Two Sigma Securities, LLC	0.97%
8. NYSE Arca	0.71%
9. U.S. CA Securities LLC	0.39%
10. NYSE American	0.13%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	56.67%
Limit orders as percentage of total non-directed orders	33.86%
Other orders as percentage of total non-directed orders	0.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	32.57%
Limit orders as percentage of total non-directed orders	15.34%
Other orders as percentage of total non-directed orders	0.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	6.63%
Limit orders as percentage of total non-directed orders	1.06%
Other orders as percentage of total non-directed orders	0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.91%
Limit orders as percentage of total non-directed orders	1.06%
Other orders as percentage of total non-directed orders	0.00%

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	29.10%
Other orders as percentage of total non-directed orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.15%
Limit orders as percentage of total non-directed orders	0.53%
Other orders as percentage of total non-directed orders	6.67%

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.01%
Limit orders as percentage of total non-directed orders	0.53%
Other orders as percentage of total non-directed orders	0.00%

8. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	11.64%
Other orders as percentage of total non-directed orders	0.00%

9. U.S. CA Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	1.59%
Other orders as percentage of total non-directed orders	60.00%

10. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	2.12%
Other orders as percentage of total non-directed orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	89.99%
Limit orders as percentage of total non-directed orders	9.51%
Other orders as percentage of total non-directed orders	0.50%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	42.24%
2. NASDAQ PHLX Options	24.15%
3. BOX Option Exchange	6.30%
4. The MIAX Options Exchange	5.80%
5. NYSE American Options	3.92%
6. Cboe EDGX Option Exchange	3.28%
7. NASDAQ Options Market	2.71%
8. NYSE Arca Options	2.35%
9. Cboe BZX Options Exchange, Inc.	1.96%
10. ISE Gemini Options Exchange	1.96%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	44.08%
Limit orders as percentage of total non-directed orders	25.09%
Other orders as percentage of total non-directed orders	35.71%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	25.41%
Limit orders as percentage of total non-directed orders	10.49%
Other orders as percentage of total non-directed orders	57.14%

3. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	6.69%
Limit orders as percentage of total non-directed orders	3.00%
Other orders as percentage of total non-directed orders	0.00%

4. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.86%
Limit orders as percentage of total non-directed orders	5.62%
Other orders as percentage of total non-directed orders	0.00%

5. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	4.23%
Limit orders as percentage of total non-directed orders	1.12%
Other orders as percentage of total non-directed orders	0.00%

6. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.57%
Limit orders as percentage of total non-directed orders	10.11%
Other orders as percentage of total non-directed orders	0.00%

7. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.78%
Limit orders as percentage of total non-directed orders	11.61%
Other orders as percentage of total non-directed orders	0.00%

8. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.03%
Limit orders as percentage of total non-directed orders	14.61%
Other orders as percentage of total non-directed orders	7.14%

9. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.58%
Limit orders as percentage of total non-directed orders	5.62%
Other orders as percentage of total non-directed orders	0.00%

10. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.70%
Limit orders as percentage of total non-directed orders	4.49%
Other orders as percentage of total non-directed orders	0.00%