

USCA SECURITIES LLC - Held NMS Stocks and  
Options Order Routing Public Report  
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3rd Quarter, 2022

July 2022

S&P 500 Stocks  
Summary

Total Non-Directed Orders as % of All Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
2,423	100.00	3,368	67.73	0	0.00	50	2.06	5	0.21

Venues

Venue - Non-directed Order Flow Orders	Non-Directed Orders as % of All Orders	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(OSTM)	1,318	31.36	0	0.00	0	0.00	0	0.00	1,318	97.18	0	0	0	0	0	0	0
Charles Schwab Securities LLC(CSNG)	772	21.27	765	35.32	0	0.00	6	2.08	1	0.09	0	0	0	0	0	0	0
GS Execution Services LLC(ETM)	500	19.78	500	23.08	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
VRTEU Americas, LLC(NTI)	476	19.22	467	21.56	0	0.00	5	1.73	4	0.34	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	402	16.58	393	18.14	0	0.00	9	3.11	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	145	4.00	0	0.00	3	100.00	142	49.13	0	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDG)	91	2.51	0	0.00	0	0.00	91	31.49	0	0.00	0	0	0	0	0	0	0
Investnet BlueCross(BLCK)	28	0.77	0	0.00	0	0.00	0	0.00	28	2.39	0	0	0	0	0	0	0
Chae EDGX US Equities Exchange(EDGX)	26	0.72	0	0.00	0	0.00	26	9.00	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SIHO)	26	0.72	26	1.20	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0

July 2022

Non-S&P 500 Stocks  
Summary

Total Non-Directed Orders as % of All Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
3,261	100.00	3,077	94.36	43	1.32	131	4.02	10	0.31

Venues

Venue - Non-directed Order Flow Orders	Non-Directed Orders as % of All Orders	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(OSTM)	2,021	35.15	0	0.00	0	0.00	0	0.00	2,021	65.92	0	0	0	0	0	0	0
Charles Schwab Securities LLC(OSTM)	1,046	18.54	1,043	35.48	9	20.00	5	0.76	9	0.43	0	0	0	0	0	0	0
VRTEU Americas, LLC(NTI)	677	12.77	666	22.65	8	17.78	3	0.46	0	0.00	0	0	0	0	0	0	0
GS Execution Services, LLC(ETM)	673	11.70	656	22.31	14	31.11	2	0.30	1	0.05	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	540	9.99	531	18.06	5	11.11	4	0.61	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	294	5.11	0	0.00	3	6.67	291	44.22	0	0.00	0	0	0	0	0	0	0
NYSE ARCA(NCA)	140	2.43	0	0.00	0	0.00	140	23.28	0	0.00	0	0	0	0	0	0	0
Chae EDGX US Equities Exchange(EDGX)	110	1.91	0	0.00	0	0.00	110	16.72	0	0.00	0	0	0	0	0	0	0
Investnet BlueCross(BLCK)	80	1.39	4	0.14	1	2.22	0	0.00	75	3.56	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDG)	66	1.15	0	0.00	0	0.00	66	10.01	0	0.00	0	0	0	0	0	0	0

July 2022

Option Contracts  
Summary

Total Non-Directed Orders as % of All Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
506	100.00	417	82.41	9	1.78	78	15.42	2	0.40

Venues

Venue - Non-directed Order Flow Orders	Non-Directed Orders as % of All Orders	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Charles Schwab Securities LLC(CSNG)	225	43.00	189	45.32	4	44.44	32	33.68	0	0.00	0	0	0	0	0	0	0
Dech Financial Technologies LLC(DFT)	138	26.99	117	28.06	2	22.22	19	20.00	0	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSG)	107	20.46	102	24.46	3	33.33	2	2.11	0	0.00	0	0	0	0	0	0	0
Waterline Execution Services LLC(WSXS)	43	8.32	4	0.96	0	0.00	39	41.05	0	0.00	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSC)	8	1.53	5	1.20	0	0.00	3	3.16	0	0.00	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	2	0.38	0	0.00	0	0.00	0	0.00	2	100.00	0	0	0	0	0	0	0

August 2022

S&P 500 Stocks  
Summary

Total Non-Directed Orders as % of All Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,734	100.00	1,674	96.54	2	0.12	57	3.29	1	0.06

Venues

Venue - Non-directed Order Flow Orders	Non-Directed Orders as % of All Orders	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(OSTM)	931	33.53	0	0.00	0	0.00	0	0.00	931	99.15	0	0	0	0	0	0	0
Charles Schwab Securities LLC(CSNG)	559	20.13	555	36.59	1	100.00	2	0.63	1	0.11	0	0	0	0	0	0	0
GS Execution Services, LLC(ETM)	409	14.73	409	26.96	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
VRTEU Americas, LLC(NTI)	327	11.78	325	21.42	0	0.00	2	0.63	0	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	203	7.24	201	13.25	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	196	7.06	0	0.00	0	0.00	196	11.25	0	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDG)	61	2.20	0	0.00	0	0.00	61	19.06	0	0.00	0	0	0	0	0	0	0
Chae EDGX US Equities Exchange(EDGX)	43	1.55	0	0.00	0	0.00	43	13.44	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SIHO)	23	0.83	23	1.52	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Members Exchange(MEMX)	15	0.54	0	0.00	0	0.00	15	4.69	0	0.00	0	0	0	0	0	0	0

August 2022

Non-S&P 500 Stocks  
Summary

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5,128	100.00	4,906	95.67	33	0.64	187	3.65	2	0.04

Venues

Venue - Non-directed Order Flow Orders	Non-Directed Orders as % of All Orders	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(OSTM)	3,225	37.02	0	0.00	0	0.00	0	0.00	3,225	98.02	0	0	0	0	0	0	0
Charles Schwab Securities LLC(CSNG)	1,713	19.66	1,685	35.82	9	26.47	18	2.63	1	0.03	0	0	0	0	0	0	0
GS Execution Services, LLC(ETM)	1,251	14.36	1,231	26.17	11	32.35	9	1.32	0	0.00	0	0	0	0	0	0	0
VRTEU Americas, LLC(NTI)	1,139	13.07	1,125	23.92	7	20.59	6	0.88	1	0.03	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	600	6.89	591	12.56	5	14.71	4	0.58	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	195	2.24	0	0.00	1	2.94	194	28.36	0	0.00	0	0	0	0	0	0	0

