

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

HSY

**USCA SECURITIES LLC
4444 WESTHEIMER RD
SUITE G500
HOUSTON, TX 77027**

SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	91.84%
Limit orders as percentage of total non-directed orders	7.69%
Other orders as percentage of total non-directed orders	0.47%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	48.01%
2. VIRTU Americas LLC	16.94%
3. G1 Execution Services, LLC	13.75%
4. National Financial Services LLC	9.89%
5. New York Stock Exchange	5.12%
6. Two Sigma Securities, LLC	3.65%
7. UBS Securities, LLC	1.44%
8. Cboe EDGX U.S. Equities Exchange	0.44%
9. NASDAQ Execution Services, LLC	0.31%
10. U.S. CA Securities LLC	0.24%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	51.51%
Limit orders as percentage of total non-directed orders	8.88%
Other orders as percentage of total non-directed orders	4.17%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	17.61%
Limit orders as percentage of total non-directed orders	9.01%
Other orders as percentage of total non-directed orders	16.67%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	14.81%
Limit orders as percentage of total non-directed orders	2.03%
Other orders as percentage of total non-directed orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	10.50%
Limit orders as percentage of total non-directed orders	3.05%
Other orders as percentage of total non-directed orders	2.08%

5. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	66.37%
Other orders as percentage of total non-directed orders	4.17%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	3.94%
Limit orders as percentage of total non-directed orders	0.38%
Other orders as percentage of total non-directed orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.54%
Limit orders as percentage of total non-directed orders	0.13%
Other orders as percentage of total non-directed orders	4.17%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.71%
Other orders as percentage of total non-directed orders	0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	3.93%
Other orders as percentage of total non-directed orders	2.08%

10. U.S. CA Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.05%
Limit orders as percentage of total non-directed orders	0.13%
Other orders as percentage of total non-directed orders	39.58%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	90.98%
Limit orders as percentage of total non-directed orders	8.84%
Other orders as percentage of total non-directed orders	0.18%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	48.06%
2. VIRTU Americas LLC	18.30%
3. G1 Execution Services, LLC	13.02%
4. National Financial Services LLC	8.03%
5. NASDAQ Execution Services, LLC	6.33%
6. Two Sigma Securities, LLC	3.76%
7. UBS Securities, LLC	1.60%
8. Cboe EDGX U.S. Equities Exchange	0.73%
9. U.S. CA Securities LLC	0.16%
10. Cboe BZX U.S. Equities Exchange	0.02%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	52.16%
Limit orders as percentage of total non-directed orders	6.41%
Other orders as percentage of total non-directed orders	22.22%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	19.07%
Limit orders as percentage of total non-directed orders	10.76%
Other orders as percentage of total non-directed orders	0.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	14.13%
Limit orders as percentage of total non-directed orders	1.83%
Other orders as percentage of total non-directed orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	8.80%
Limit orders as percentage of total non-directed orders	0.23%
Other orders as percentage of total non-directed orders	0.00%

5. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	71.62%
Other orders as percentage of total non-directed orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	4.11%
Limit orders as percentage of total non-directed orders	0.23%
Other orders as percentage of total non-directed orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.73%
Limit orders as percentage of total non-directed orders	0.23%
Other orders as percentage of total non-directed orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	8.24%
Other orders as percentage of total non-directed orders	0.00%

9. U.S. CA Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.23%
Other orders as percentage of total non-directed orders	77.78%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.23%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	98.29%
Limit orders as percentage of total non-directed orders	1.43%
Other orders as percentage of total non-directed orders	0.29%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	56.41%
2. VIRTU Americas LLC	19.92%
3. G1 Execution Services, LLC	15.32%
4. Two Sigma Securities, LLC	4.47%
5. UBS Securities, LLC	2.09%
6. National Financial Services LLC	0.92%
7. NYSE Arca	0.48%
8. Cboe EDGX U.S. Equities Exchange	0.16%
9. FLOW TRADERS U.S. INSTITUTIONAL TRADING LLC	0.06%
10. NASDAQ Execution Services, LLC	0.06%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	57.00%
Limit orders as percentage of total non-directed orders	26.67%
Other orders as percentage of total non-directed orders	0.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	20.08%
Limit orders as percentage of total non-directed orders	8.89%
Other orders as percentage of total non-directed orders	22.22%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	15.53%
Limit orders as percentage of total non-directed orders	4.44%
Other orders as percentage of total non-directed orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	4.49%
Limit orders as percentage of total non-directed orders	2.22%
Other orders as percentage of total non-directed orders	11.11%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.07%
Limit orders as percentage of total non-directed orders	4.44%
Other orders as percentage of total non-directed orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.84%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	33.33%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	33.33%
Other orders as percentage of total non-directed orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	11.11%
Other orders as percentage of total non-directed orders	0.00%

9. FLOW TRADERS U.S. INSTITUTIONAL TRADING LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	22.22%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	4.44%
Other orders as percentage of total non-directed orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	86.13%
Limit orders as percentage of total non-directed orders	13.72%
Other orders as percentage of total non-directed orders	0.15%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	41.15%
2. NASDAQ PHLX Options	21.74%
3. BOX Option Exchange	10.11%
4. NYSE American Options	4.07%
5. Cboe EDGX Option Exchange	3.60%
6. The MIAX Options Exchange	3.33%
7. NYSE Arca Options	3.25%
8. NASDAQ Options Market	2.91%
9. Cboe BZX Options Exchange, Inc.	2.48%
10. ISE Gemini Options Exchange	1.90%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	44.17%
Limit orders as percentage of total non-directed orders	22.32%
Other orders as percentage of total non-directed orders	25.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	23.03%
Limit orders as percentage of total non-directed orders	12.99%
Other orders as percentage of total non-directed orders	75.00%

3. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	11.07%
Limit orders as percentage of total non-directed orders	4.24%
Other orders as percentage of total non-directed orders	0.00%

4. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	4.27%
Limit orders as percentage of total non-directed orders	2.82%
Other orders as percentage of total non-directed orders	0.00%

5. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	3.37%
Limit orders as percentage of total non-directed orders	5.08%
Other orders as percentage of total non-directed orders	0.00%

6. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	3.19%
Limit orders as percentage of total non-directed orders	4.24%
Other orders as percentage of total non-directed orders	0.00%

7. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.66%
Limit orders as percentage of total non-directed orders	13.28%
Other orders as percentage of total non-directed orders	0.00%

8. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.26%
Limit orders as percentage of total non-directed orders	13.28%
Other orders as percentage of total non-directed orders	0.00%

9. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.20%
Limit orders as percentage of total non-directed orders	4.24%
Other orders as percentage of total non-directed orders	0.00%

10. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.03%
Limit orders as percentage of total non-directed orders	7.34%
Other orders as percentage of total non-directed orders	0.00%