

USCA SECURITIES LLC - Hold NMS Stocks and
Options Order-Reading Public Report
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1st Quarter, 2022

January 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
3,093	100.00	2,793	90.30	266	8.60	29	0.94	5	0.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(per hundred shares)
National Financial Services LLC(DSTN)	1,614	35.00	0	0.00	0	0.00	0	0.00	1,614	95.96	0	0	0	0	0	0	0	0
Citadel Securities LLC(DNG)	1,271	27.56	1,149	44.02	118	44.36	1	1.85	3	0.18	0	0	0	0	0	0	0	0
VRTU Americas, LLC(NTE)	782	16.96	713	27.32	67	25.19	0	0.00	2	0.12	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(NST)	615	13.33	553	21.19	62	23.31	0	0.00	0	0.00	0	0	0	0	0	0	0	0
GI Execution Services, LLC(ETMM)	144	3.12	128	4.90	15	5.64	1	1.85	0	0.00	0	0	0	0	0	0	0	0
Institut BorsCass(BLX)	63	1.37	0	0.00	0	0.00	0	0.00	63	3.75	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOH)	43	0.93	42	1.61	1	0.38	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(BSS)	28	0.61	25	0.96	3	1.13	0	0.00	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	21	0.46	0	0.00	0	0.00	21	38.89	0	0.00	0	0	0	0	0	0	0	0
Choe EDOX US Equities Exchange(EDX)	18	0.39	0	0.00	0	0.00	18	33.33	0	0.00	0	0	0	0	0	0	0	0

January 2022

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
4,130	100.00	4,090	94.46	92	2.12	131	3.03	17	0.39

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(per hundred shares)
National Financial Services LLC(DSTN)	2,613	36.95	0	0.00	0	0.00	0	0.00	2,613	97.03	0	0	0	0	0	0	0	0
Citadel Securities LLC(DNG)	1,718	24.30	1,660	41.99	34	35.56	13	3.92	11	0.41	0	0	0	0	0	0	0	0
VRTU Americas, LLC(NTE)	1,242	16.15	1,106	27.98	28	30.11	4	1.20	4	0.15	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(NST)	890	12.59	862	21.81	23	24.73	5	1.51	0	0.00	0	0	0	0	0	0	0	0
GI Execution Services, LLC(ETMM)	244	3.45	235	5.94	6	6.45	3	0.90	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	91	1.29	0	0.00	1	1.08	90	27.11	0	0.00	0	0	0	0	0	0	0	0
Choe EDOX US Equities Exchange(EDX)	75	1.06	0	0.00	0	0.00	75	22.59	0	0.00	0	0	0	0	0	0	0	0
Institut BorsCass(BLX)	63	0.89	0	0.00	0	0.00	0	0.00	63	2.34	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOH)	52	0.74	49	1.24	1	1.08	2	0.60	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	46	0.65	0	0.00	0	0.00	46	13.86	0	0.00	0	0	0	0	0	0	0	0

January 2022

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
817	100.00	695	78.35	14	1.59	175	19.73	3	0.34

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(per hundred shares)
Citadel Securities LLC(DNG)	445	47.09	328	47.19	5	33.33	112	48.28	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSG)	296	31.32	264	37.99	7	46.67	23	9.91	2	66.67	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WVX)	77	8.15	10	1.44	0	0.00	67	28.88	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DNF)	72	7.62	49	7.05	2	13.33	21	9.05	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSC)	54	5.71	44	6.33	1	6.67	9	3.88	0	0.00	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	1	0.11	0	0.00	0	0.00	0	0.00	1	33.33	0	0	0	0	0	0	0	0

February 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
2,559	100.00	2,199	85.93	80	3.13	279	10.90	1	0.04

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(per hundred shares)
National Financial Services LLC(DSTN)	1,356	30.69	0	0.00	0	0.00	0	0.00	1,356	98.55	0	0	0	0	0	0	0	0
Citadel Securities LLC(DNG)	999	22.61	883	44.02	35	37.23	81	8.59	0	0.00	0	0	0	0	0	0	0	0
VRTU Americas, LLC(NTE)	560	12.67	482	24.03	23	24.47	54	5.73	1	0.07	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(NST)	518	11.72	465	23.18	12	12.77	41	4.35	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	347	7.85	0	0.00	1	1.06	346	36.69	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	336	7.60	0	0.00	13	13.83	323	34.25	0	0.00	0	0	0	0	0	0	0	0
GI Execution Services, LLC(ETMM)	145	3.28	124	6.18	8	8.51	13	1.38	0	0.00	0	0	0	0	0	0	0	0
Choe EDOX US Equities Exchange(EDX)	73	1.65	0	0.00	0	0.00	73	7.74	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOH)	36	0.81	31	1.55	1	1.06	4	0.42	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(BSS)	23	0.52	21	1.05	1	1.06	1	0.11	0	0.00	0	0	0	0	0	0	0	0

February 2022

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
3,081	100.00	2,840	92.18	72	2.34	164	5.32	5	0.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(per hundred shares)
National Financial Services LLC(CFTM)	2,063	38.58	0	0.00	0	0.00	0	0.00	2,063	97.54	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	1,196	22.37	1,134	41.31	32	0.00	45.07	28	6.73	2	0.09	0	0	0	0	0	0	0
VRTU America, LLC(VRT)	757	14.16	728	26.52	19	0.00	26.76	7	1.68	3	0.14	0	0	0	0	0	0	0
Jane Street Capital, LLC(JST)	605	11.31	587	21.38	11	0.00	15.49	7	1.68	0	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	338	4.45	225	8.20	4	0.00	5.63	9	2.16	0	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDX)	163	3.05	0	0.00	1	0.00	1.41	162	38.94	0	0.00	0	0	0	0	0	0	0
Clear Edge US Equities Exchange(EDGE)	90	1.68	0	0.00	0	0.00	0.00	90	21.63	0	0.00	0	0	0	0	0	0	0
Institut BlockCross(BLXX)	47	0.88	0	0.00	0	0.00	0.00	0	0.00	47	2.22	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SHGH)	46	0.86	42	1.53	3	0.00	4.23	1	0.24	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	18	0.71	0	0.00	0	0.00	0.00	18	9.13	0	0.00	0	0	0	0	0	0	0

February 2022

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
761	100.00	568	74.64	17	2.23	176	23.00	1	0.13

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(per hundred shares)
Citadel Securities LLC(CDRG)	416	48.77	294	51.76	10	0.00	47.62	112	42.59	0	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSG)	207	24.27	173	30.46	1	0.00	4.76	33	12.55	0	0.00	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	105	12.31	55	9.68	6	0.00	28.57	44	16.73	0	0.00	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WES)	76	8.91	15	2.64	2	0.00	9.52	59	22.43	0	0.00	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSC)	48	5.63	31	5.46	2	0.00	9.52	15	5.70	0	0.00	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	1	0.12	0	0.00	0	0.00	0.00	0	0.00	1	100.00	0	0	0	0	0	0	0

March 2022

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
3,803	100.00	3,067	80.65	507	13.33	225	5.92	4	0.11

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(per hundred shares)
National Financial Services LLC(CFTM)	1,556	29.05	0	0.00	0	0.00	0	0.00	1,556	98.98	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	1,167	21.79	1,098	38.51	62	0.00	12.70	5	1.12	2	0.13	0	0	0	0	0	0	0
Jane Street Capital, LLC(JST)	728	13.99	678	23.78	46	0.00	9.43	4	0.90	0	0.00	0	0	0	0	0	0	0
VRTU America, LLC(VRT)	691	12.90	656	23.01	29	0.00	5.94	4	0.90	2	0.13	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	629	11.74	0	0.00	333	0.00	68.24	296	66.52	0	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	372	6.95	352	12.35	17	0.00	3.48	3	0.67	0	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDX)	106	1.98	0	0.00	0	0.00	0.00	106	23.82	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SHGH)	45	0.84	45	1.58	0	0.00	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
US Securities, LLC(UBSS)	23	0.43	22	0.77	1	0.00	0.20	0	0.00	0	0.00	0	0	0	0	0	0	0
Clear Edge US Equities Exchange(EDGE)	16	0.30	0	0.00	0	0.00	0.00	16	3.60	0	0.00	0	0	0	0	0	0	0

March 2022

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
4,847	100.00	4,492	92.68	173	3.57	158	3.26	24	0.50

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(per hundred shares)
National Financial Services LLC(CFTM)	2,751	35.86	0	0.00	0	0.00	0	0.00	2,751	97.21	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	1,774	23.13	1,687	38.61	59	0.00	34.10	19	6.35	9	0.32	0	0	0	0	0	0	0
Jane Street Capital, LLC(JST)	1,088	14.18	1,036	23.71	44	0.00	25.43	8	2.68	0	0.00	0	0	0	0	0	0	0
VRTU America, LLC(VRT)	1,065	13.88	1,003	22.96	47	0.00	27.17	8	2.68	7	0.25	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	574	7.48	548	12.54	19	0.00	10.98	7	2.34	0	0.00	0	0	0	0	0	0	0
Clear Edge US Equities Exchange(EDGE)	83	1.08	0	0.00	0	0.00	0.00	83	27.76	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	72	0.94	0	0.00	0	0.00	0.00	72	24.08	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SHGH)	71	0.93	68	1.56	3	0.00	1.73	0	0.00	0	0.00	0	0	0	0	0	0	0
Institut BlockCross(BLXX)	54	0.70	0	0.00	0	0.00	0.00	0	0.00	54	1.91	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDX)	18	0.50	0	0.00	1	0.00	0.58	17	12.37	0	0.00	0	0	0	0	0	0	0

March 2022

Option Contracts Summary

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517	100.00	388	66.10	18	3.27	179	30.40	2	0.34

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US\$)	Net Payment Paid/Received for Market Orders(per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(US\$)	Net Payment Paid/Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(US\$)	Net Payment Paid/Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/Received for Other Orders(US\$)	Net Payment Paid/Received for Other Orders(per hundred shares)
Citadel Securities LLC(CDRG)	294	46.37	191	49.23	11	0.00	45.83	92	41.82	0	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSG)	146	23.03	114	29.38	9	0.00	37.50	21	9.55	2	100.00	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	94	14.83	58	14.95	3	0.00	12.50	33	15.00	0	0.00	0	0	0	0	0	0	0

