USCA SECURITIES LLC - Held NMS Stocks and Options Order Routing Public Report Generated on Mon Apr 05 2021 10:41:38 GMT-4 EDT

1st Quarter, 2021

January 2021

S&P 500 Stocks Summary

								Non-Ivial ketable			
	Non-Direct	ted	Market On	ders as %	M	Narketable Limit		Limit Orders as % of		Other Orders as %	
Total No	n-Directed Orders as	% of All Total Marke	of Non-Dir	ected Total Marketab	le O	Orders as % of Non-		Non-Directed		of Non-Directed	
Orders	Orders	Orders	Orders	Limit Orders	Di	Pirected Orders Total Non-Marketable Limit	Orders	Orders	Total Other Orders	Orders	
	2,559	100.00	2,350	91.83	11	0.43	19	18 7.74		0.00	

Venue - Non- Non-Directed directed Order Flow Orders	Non-Directed Orders(%)	i Market Orders	Market Orde	Marketable Limi	t Marketable Limit Orders(%)	Non-Marketabl Limit Orders	le Non-Marketal Limit Orders(%		Other Orders(%	Net Payment Paid/Received for Market %) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Paid/Received for	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares	Net Payment Paid/Received for s) Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)	
Citadel Securities	1,426 1,182	33.49 27.76	0	0.00 53.18	0	0.00	0 42	0.00 : 7.28	1,426 0	98.62 0.00	0		0	0	0	0 0	0 0)
VIRTU Americas LLC(NITE)	643	15.10	613	28.64	3	3.16	27	4.68	0	0.00	0	0	0	Ō	0	0	0 0)
NASDAQ Execution Services, LLC(NSDQ)	420	9.86	0	0.00	87	91.58	333	57.71	0	0.00	0	0	0	0	0	0	0 0	D
JANE STREET CAPITAL, LLC(JNST) New York Stock	225	5.28	217	10.14	1	1.05	7	1.21	0	0.00	0	0	0	0	0	0	0 0	J
Exchange(NYSE) G1 Execution	118	2.77	0	0.00	2	2.11	116	20.10	0	0.00	0	0	0	0	0	0	0 0)
Services, LLC(ETMM) Two Sigma	110	2.58	104	4.86	0	0.00	6	1.04	0	0.00	0	0	0	0	0	0	0 0)
Securities, LLC(SOHO) Cboe EDGX US Equities	48	1.13	47	2.20	0	0.00	1	0.17	0	0.00	0	0	0	0	0	0	0 0)
Exchange(EDGX) UBS Securities,	43	1.01	0	0.00	0	0.00	43	7.45	0	0.00	0	0	0	0	0	0	0 0)
LLC(UBSS)	23	0.54	21	0.98	0	0.00	2	0.35	0	0.00	0	0	0	0	0	0	0 0	J

January 2021

Non-S&P 500 Stocks Summary

Summary														
											Non-Marketable	e		
	Nor	n-Directed			Market Orders as 9	6		Marketable Limit			Limit Orders as	% of	Oth	er Orders as %
Total Non-Di	irected Ord	ders as % of All	Total Market		of Non-Directed	Total Marketable	е	Orders as % of Non-			Non-Directed		of N	on-Directed
Orders	Ord	ders	Orders		Orders	Limit Orders		Directed Orders	Total Non-Marketable Limit Orders		Orders	Total Other Order	s Ord	ers
	5,945	100.00	II.	5,584	93.9	3	87	1.46	i	270		4.54	4	0.07

Venues

Venue - Non- Non-Direct directed Order Flow Orders	ed Non-Directe Orders(%)	ed Market Order	rs Market Ord	Marketable Lim	it Marketable Limit Orders(%)	Non-Marketable Limit Orders	e Non-Marketa Limit Orders(Other Order	Net Payment Paid/Received fo Market s(%) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Paid/Received for	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)		Limit Orders(cen	Net Payment		nts
National Financial					_						_	_	_	_	_		_	_
Services LLC(XSTM) Citadel Securities	3,322	33.54	0	0.00	U	0.00	0	0.00	3,322	96.91	0	0	0	0	0	0	0	0
LLC(CDRG)	2,972	30.01	2,848	53.78	46	52.87	75	6.86	3	0.09	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	1,584	15.99	1,534	28.97	20	22.99	29	2.65	1	0.03	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	632	6.38	0	0.00	4	4.60	628	57.40	0	0.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST) G1 Execution Services, LLC(ETMM)	470 294	4.75 2.97	455 285	8.59 5.38	11 4	12.64 4.60	4 5	0.37	0	0.00	0			0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ) Choe EDGX US Equities	189	1.91	0	0.00	0	0.00		17.28	0	0.00	0			0	0	0	0	0
Exchange(EDGX)	144	1.45	0	0.00	0	0.00	144	13.16	0	0.00	0	0	0	0	0	0	0	0

Two Sigma Securities, LLC(SOHO) Instinet BlockCross(BLXX)	116 102	1.17	110	2.08	0	0.00	6	0.55	0 102	0.00	0	0	0	0	0	0	0	0
January 2021																		
Option Contracts Summary Non-Directet Total Non-Directed Orders as % Orders Orders 1,178 Venues		Market Ordet of Non-Direct Orders			of Non-	Non-Marketal Limit Orders a Non-Directed Orders 138	as % of	Other Orders of Non-Directe Orders Orders										
Venue - Non- Non-Directed directed Order Flow Orders Citadel Securities	d Non-Direct Orders(%)	ed Market Orde	ers Market Or	Marketable I ders(%) Orders	.imit Marketable Limit Orders(%)	Non-Marketal Limit Orders	ble Non-Marketa Limit Orders(Other Orders(5	Net Payment Paid/Received fo Market %) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Paid/Received fo Marketable Limi		t Paid/Received for Non-Marketable	Limit Orders(cer	Net Payment		ents
LLC(CDRG) Susquehanna	544	45.15	445	44.72	22	46.81	77	47.24	0	0.00	0	0	0	0	0	0	0	0
Capital Group(SUSQ) Dash Financial Technologies	406	33.69	377	37.89	19	40.43	10	6.13	0	0.00	0	0	0	0	0	0	0	0
LLC(DASH) Wolverine	134	11.12	110	11.06	3	6.38	21	12.88	0	0.00	0	0	0	0	0	0	0	0
Execution Services LLC(WEXX) Morgan Stanley &	73	6.06	20	2.01	2	4.26	51	31.29	0	0.00	0	0	0	0	0	0	0	0
Company																		

February 2021

S&P 500 Stocks

| Non-Direct | Non

Venues

Venue - Non- Non-Directed directed Order Flow Orders	Non-Direct Orders(%)	ed Market Ord	ers Market Ord	Marketable Limi	t Marketable Limit Orders(%)	Non-Marketabl Limit Orders	le Non-Marketal Limit Orders(%		Other Orders(Net Payment Paid/Received for Market %) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cent	Net Payment	Net Payment Paid/Received for Other Orders(cents per hundred shares	
National Financial Services LLC(XSTM) Citadel Securities LLC(CDRG)	1,272 1,203	25.40 24.02	0	0.00 53.75	0	0.00 37.50	0	0.00	1,272 0	98.76 0.00	0	0	-	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ) New York Stock Exchange(NYSE) VIRTU Americas LLC(NITE)	800 647 549	15.97 12.92 10.96	0 0 520	0.00 0.00 24.24	1 0 2	12.50 0.00 25.00		50.99 41.29 1.72	0 0	0.00 0.00 0.00	0 0	·	•	0 0	0 0	0 0	0 0	0
JANE STREET CAPITAL, LLC(JNST) UBS Securities, LLC(UBSS) G1 Execution	236 100	4.71 2.00	228 94	10.63 4.38	0	0.00 12.50	8	0.51 0.32	0	0.00	0	0	0	0	0	0	0	0
Services, LLC(ETMM) Two Sigma Securities, LLC(SOHO) Cboe EDGX US	85	1.70	81	3.78	0	0.00	0	0.26	0	0.00	0	0	0	0	0	0	0	0
Equities Exchange(EDGX)	29	0.58	0	0.00	0	0.00	29	1.85	0	0.00	0	0	0	0	0	0	0	0

February 2021

Non-S&P 500 Stocks Summary

Non-Marketable
Limit Orders as % of
Non-Directed
Orders
155
3.23
Other Orders as %
Of Non-Directed
Orders
Orders
0 Order
0 Order
0 0 0.08

Venue - Non- directed Order Flow Orders		Non-Directed Orders(%)	Market Orders	Market Order	Marketable Lim s(%) Orders	it Marketable Limit Orders(%)		Non-Marketable Limit Orders	Non-Marketabl Limit Orders(%)	e Other Orders	Other Orders	Net Payment Paid/Received for Market (%) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)		Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred share	nts
National Financial Services LLC(XSTM) Citadel Securities LLC(CDRG) VIRTU Americas	2,382 2,296				0.00 51.45	0 45	0.00 51.14			0.00 2 5.52	0	96.36 0.00	0	0	0	0	0	0	0	0
LLC(NITE) JANE STREET CAPITAL, LLC(JNST) UBS Securities,	1,099 551				24.46	10	26.14 11.36			0.97	0	0.16	0	0	0	0	0	0	0	0
LLC(UBSS) G1 Execution Services, LLC(ETMM) Two Sigma	203 185			197	4.54	3	4.55 3.41			0.65	0	0.00	0	0	0	0	0	0	0	0
Securities, LLC(SOHO)	134		1.86	131	3.02	1	1.14		2	0.65	0	0.00	0	0	Ō	0	0	0	Ō	0
NASDAQ Execution Services, LLC(NSDQ) Instinet BlockCross(BLKX) Cboe EDGX US	131 86		1.82	0	0.00	0	0.00	1		0.00	0 86	0.00 3.48	0	0	0	0	0	0	0	0
Equities Exchange(EDGX)	69		0.96	0	0.00	0	0.00		69	2.40	0	0.00	0	0	0	0	0	0	0	0

February 2021

Option Contracts

	Non-Directed		Market Orders as %		Marketable Limit	
Total Non-Directed	Orders as % of All	Total Market	of Non-Directed	Total Marketable	Orders as % of Nor	-
Orders	Orders	Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders
1,30	100.00	1,126	86.22	2	7 2.0	7

Venue - Non- directed Order Flo Citadel Securities	Non-Directed Orders(%)	Market Orders	Market Order	Marketable Lim s(%) Orders	it Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketa		Other Orders(%	Net Payment Paid/Received for Market 6) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred share	Net Payment	Net Payment Paid/Received for Other Orders(cent) per hundred share	nts
LLC(CDRG)	608	45.61	522	46.36	11	39.29	75	41.90	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ) Dash Financial Technologies	451	33.83	422 99	37.48 8.79	14	50.00 7.14	15	8.38		0.00	0	0	0	0	-			0
LLC(DASH) Wolverine Execution Service: LLC(WEXX)	72	5.40	22	1.95	0	0.00	50	27.93		0.00	0	0	0	0	-		-	0
Morgan Stanley & Company LLC(MSCO) Interactive Broker	65	4.88	60	5.33	1	3.57	4	2.23		0.00	0	0	0	0	0		0	0
LLC(IBKR)	2	0.15	1	0.09	0	0.00	1	0.56	0	0.00	0	0	0	0	0	0	0	0

March 2021

S&P 500 Stocks Summary

							Non-Mark	etable		
	Non-Directe	d	Market Orders a	%	Marketable Limit		Limit Orde	ers as % of	Other	Orders as %
Total Non-Direct	ed Orders as %	of All Total Market	of Non-Directed	Total Marketable	Orders as % of Non	H-	Non-Direc	ted	of Non	-Directed
Orders	Orders	Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other (Orders Orders	
4,	293	100.00	3,694 86	.05	1 0.0	2	595	13.86	3	0.07

Venues

											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flo	w Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares) Other Orders(USD)	per hundred shares)

Net Payment

Net Payment

Net Payment

1,916	21.02	0	0.00	0	0.00	1,916	46.09	0	0.00	0	0	0	0	0	0	0	0
1,815	19.91	0	0.00	0	0.00	1,815	43.66	0	0.00	0	0	0	0	0	0	0	0
1,762	19.33	0	0.00	0	0.00	0	0.00	1,762	94.83	0	0	0	0	0	0	0	0
1,674	18.36	1,523	49.11	0	0.00	150	3.61	1	0.05	0	0	0	0	0	0	0	0
894	9.81	819	26.41	0	0.00	74	1.78	1	0.05	0	0	0	0	0	0	0	0
491	5.39	453	14.61	0	0.00	38	0.91	0	0.00	0	0	0	0	0	0	0	0
140	1.54	131	4.22	0	0.00	9	0.22	0	0.00	0	0	0	0	0	0	0	0
139	1.52	0	0.00	0	0.00	139	3.34	0	0.00	0	0	0	0	0	0	0	0
109	1.20	101	3.26	0	0.00	8	0.19	0	0.00	0	0	0	0	0	0	0	0
93	1.02	0	0.00	0	0.00	0	0.00	93	5.01	0	0	0	0	0	0	0	0
	1,815 1,762 1,674 894 491 140 139	1,815 19.91 1,762 19.33 1,674 18.36 894 9.81 491 5.39 140 1.54 139 1.52 109 1.20	1,815 19.91 0 1,762 19.33 0 1,674 18.36 1,523 894 9.81 819 491 5.39 453 140 1.54 131 139 1.52 0 109 1.20 101	1,815 19.91 0 0.00 1,762 19.33 0 0.00 1,674 18.36 1,523 49.11 894 9.81 819 26.41 491 5.39 453 14.61 140 1.54 131 4.22 139 1.52 0 0.00 109 1.20 101 3.26	1,815 19.91 0 0.00 0 1,762 19.33 0 0.00 0 1,674 18.36 1,523 49.11 0 894 9.81 819 26.41 0 491 5.39 453 14.61 0 140 1.54 131 4.22 0 139 1.52 0 0.00 0 109 1.20 101 3.26 0	1.815 19.91 0 0.00 0 0.00 1,762 19.33 0 0.00 0 0.00 1,674 18.36 1,523 49.11 0 0.00 894 9.81 819 26.41 0 0.00 491 5.39 453 14.61 0 0.00 140 1.54 131 4.22 0 0.00 139 1.52 0 0.00 0 0.00 109 1.20 101 3.26 0 0.00	1,815 19.91 0 0.00 0 0.00 1,815 1,762 19.33 0 0.00 0 0.00 0 1,674 18.36 1,523 49.11 0 0.00 150 894 9.81 819 26.41 0 0.00 74 491 5.39 453 14.61 0 0.00 38 140 1.54 131 4.22 0 0.00 9 139 1.52 0 0.00 0 0.00 139 109 1.20 101 3.26 0 0.00 8	1,815 19.91 0 0.00 0 0.00 1,815 43.66 1,762 19.33 0 0.00 0 0.00 0 0.00 1,674 18.36 1,523 49.11 0 0.00 150 3.61 894 9.81 819 26.41 0 0.00 74 1.78 491 5.39 453 14.61 0 0.00 38 0.91 140 1.54 131 4.22 0 0.00 9 0.22 139 1.52 0 0.00 0 0.00 139 3.34 109 1.20 101 3.26 0 0.00 8 0.19	1.815 19.91 0 0.00 0 0.00 1.815 43.66 0 1.762 19.33 0 0.00 0 0.00 0 0.00 1,762 1.674 18.36 1,523 49.11 0 0.00 150 3.61 1 894 9.81 819 26.41 0 0.00 74 1.78 1 491 5.39 453 14.61 0 0.00 38 0.91 0 140 1.54 131 4.22 0 0.00 9 0.22 0 139 1.52 0 0.00 0 0.00 139 3.34 0 109 1.20 101 3.26 0 0.00 8 0.19 0	1,815 19.91 0 0.00 0 0.00 1,815 43.66 0 0.00 1,762 19.33 0 0.00 0 0.00 0 0.00 1,762 94.83 1,674 18.36 1,523 49.11 0 0.00 150 3.61 1 0.05 894 9.81 819 26.41 0 0.00 74 1.78 1 0.05 491 5.39 453 14.61 0 0.00 38 0.91 0 0.00 140 1.54 131 4.22 0 0.00 9 0.22 0 0.00 139 1.52 0 0.00 0 0.00 139 3.34 0 0.00 109 1.20 101 3.26 0 0.00 8 0.19 0 0.00	1,815 19.91 0 0.00 0 0.00 1,815 43.66 0 0.00 0 1,762 19.33 0 0.00 0 0.00 0 0.00 1,762 94.83 0 1,674 18.36 1,523 49.11 0 0.00 150 3.61 1 0.05 0 894 9.81 819 26.41 0 0.00 74 1.78 1 0.05 0 491 5.39 453 14.61 0 0.00 38 0.91 0 0.00 0 140 1.54 131 4.22 0 0.00 9 0.22 0 0.00 0 139 1.52 0 0.00 0 0.00 139 3.34 0 0.00 0 109 1.20 101 3.26 0 0.00 8 0.19 0 0.00 0	1.815 19.91 0 0.00 0 0.00 1.815 43.66 0 0.00 0 0 1,762 19.33 0 0.00 0 0.00 0 0.00 1,762 94.83 0 0 1,674 18.36 1,523 49.11 0 0.00 150 3.61 1 0.05 0 0 894 9.81 819 26.41 0 0.00 74 1.78 1 0.05 0 0 491 5.39 453 14.61 0 0.00 38 0.91 0 0.00 0 0 140 1.54 131 4.22 0 0.00 9 0.22 0 0.00 0 0 139 1.52 0 0.00 0 0.00 139 3.34 0 0.00 0 0 109 1.20 101 3.26 0 0.00 8 0.19 0 0.00 0 0	1,815 19.91 0 0.00 0 0.00 1,815 43.66 0 0.00 0 0 0 1,762 19.33 0 0.00 0 0.00 0 0.00 1,762 94.83 0 0 0 1,674 18.36 1,523 49.11 0 0.00 150 3.61 1 0.05 0 0 0 894 9.81 819 26.41 0 0.00 74 1.78 1 0.05 0 0 0 491 5.39 453 14.61 0 0.00 38 0.91 0 0.00 0 0 140 1.54 131 4.22 0 0.00 9 0.22 0 0.00 0 0 139 1.52 0 0.00 0 0.00 8 0.19 0 0.00 0 0 0 109 1.20 101 3.26 0 0.00 8 0.19 0 0.00 0 0	1,815 1991 0 0.00 0 0.00 1,815 43,66 0 0.00 0 0 0 0 1,762 1933 0 0.00 0 0.00 0 0.00 1,762 94,83 0 0 0 0 1,674 18,36 1,523 49,11 0 0.00 150 3,61 1 0.05 0 0 0 0 894 9,81 819 26,41 0 0.00 74 1,78 1 0.05 0 0 0 0 491 5,39 453 14,61 0 0.00 38 0,91 0 0.00 0 0 0 140 1,54 131 4,22 0 0.00 9 0,22 0 0.00 0 0 0 139 1,52 0 0.00 0 0 3,34 0 0.00 0 0 0 109 1,20 101 3,26 0 0.00 8 0,19 0 0.00 0 0 0 0 0	1,815 1991 0 0.00 0 0.00 1,815 43.66 0 0.00 0 0 0 0 0 1,762 1933 0 0.00 0 0.00 0 0.00 1,762 94.83 0 0 0 0 0 1,674 1836 1,523 49.11 0 0.00 150 3.61 1 0.05 0 0 0 0 0 894 9.81 819 26.41 0 0.00 74 1.78 1 0.05 0 0 0 0 0 491 5.39 453 14.61 0 0.00 38 0.91 0 0.00 0 0 0 0 0 140 1.54 131 4.22 0 0.00 9 0.22 0 0.00 0 0 0 0 0 139 1.52 0 0.00 0 0.00 8 0.19 0 0.00 0 0 0 0 0 0 109 1.20 101 3.26 0 0.00 8 0.19 0 0.00 0 <t< th=""><th>1,815 19.91 0 0.00 0 0.00 1.815 43.66 0 0.00 0 0 0 0 0 0 0 0 0 0 0 0 1,762 19.83 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0</th><th>1,815</th></t<>	1,815 19.91 0 0.00 0 0.00 1.815 43.66 0 0.00 0 0 0 0 0 0 0 0 0 0 0 0 1,762 19.83 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	1,815

March 2021

Non-S&P 500 Stocks

| Non-Directed | Non-

Venues

Venue - Non- Non-Directed directed Order Flow Orders	Non-Directed Orders(%)	l Market Orders	s Market Order	Marketable Limirs(%) Orders	t Marketable Limit Orders(%)			Non-Marketable Limit Orders(%)	Other Orders	Other Orders(5	Net Payment Paid/Received for Market %) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred share	Net Payment	Net Payment Paid/Received for Other Orders(cents) per hundred shares	s
National Financial Services LLC(XSTM) Citadel Securities	3,178	23.08	0	0.00	0	0.00	0	0.	00 3,	178	96.65	0	0	0	0 (0	0	0 (0
LLC(CDRG) New York Stock	2,995	21.75	2,945	49.70	21	42.86	20	0.	44	9	0.27	0	0	0	0 (0	0	0 (0
VIRTU Americas	2,660	19.32	0	0.00	2	4.08	2,658			0	0.00	0	0	0	0 (0	0	0 0	0
LLC(NITE)	1,643	11.93	1,616	27.27	14	28.57	10	0.	22	3	0.09	0	0	0	0 (0	0	0 0	0
NASDAQ Execution Services, LLC(NSDQ)	1,576	11.45	0	0.00	1	2.04	1,575	34.	97	0	0.00	0	0	0	0 (0	0	0 (0
JANE STREET CAPITAL, LLC(JNST) Two Sigma	819	5.95	808	13.63	4	8.16	7	0.	16	0	0.00	0	0	0	0 (0	0	0 (0
Securities, LLC(SOHO) G1 Execution	240	1.74	237	4.00	2	4.08	1	. 0.	02	0	0.00	0	0	0	0 0	0	0	0 0	0
Services, LLC(ETMM) Cboe EDGX US Equities	185	1.34	181	3.05	3	6.12	1	. 0.	02	0	0.00	0	0	0	0 (0	0	0 (0
Exchange(EDGX) UBS Securities,	158	1.15	0	0.00	0	0.00	158	3.	51	0	0.00	0	0	0	0 (0	0	0 (0
LLC(UBSS)	142	1.03	139	2.35	2	4.08	1	0.	02	0	0.00	0	0	0	0 (0	0	0 0	0

March 2021

Option Contracts

| Non-Directed | Non-Directed | Non-Directed | Non-Directed | Orders as % of All | Orders as

Venues

												Net Payment		Net Payment		Net Payment			
											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment	
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received fo	r
Venue - Non-	Non-Directed	Non-Directed	l		Marketable Limit		Non-Marketa	ble Non-Marketabl	e		Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cer	ts
directed Order Flow (Orders	Orders(%)	Market Orders	Market Orders(%	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares) Other Orders(USD	per hundred shar	es)
Citadel Securities																			
LLC(CDDC)		420	46.00	200 44	01	21	26 21	107	23 AE	1 100	00	0	0	0	0	0	n	0	0

Susquehanna																		
Capital																		
Group(SUSQ)	286	30.72	256	38.32	22	37.93	8	3.92	0	0.00	0	0	0	0	0	0	0	0
Dash Financial																		
Technologies																		
LLC(DASH)	106	11.39	69	10.33	6	10.34	31	15.20	0	0.00	0	0	0	0	0	0	0	0
Wolverine																		
Execution Services		6.77			_	3.45		25.00	0	0.00	_	0	_	_	_	_	_	_
LLC(WEXX)	63	6.77	10	1.50	2	3.45	51	25.00	U	0.00	0	U	0	0	0	0	0	U
Morgan Stanley &																		
Company LLC(MSCO)	46	4.94	33	4.94		10.34	7	3.43	0	0.00	0	0	0	0	0	0	0	0
Interactive Brokers	40	4.94	33	4.94	6	10.34	,	3.43	U	0.00	U	U	U	U	U	U	U	U
LLC(IBKR)	1	0.11	0	0.00	1	1.72	0	0.00	0	0.00	0	0	0	0	0	0	0	0
ELECTIONITY	-	0.11	· ·	0.00	*	1.72	0	0.00	0	0.00	0	0	0	0	0	· ·		o .