

Held NMS Stocks and Options Order Routing Public Report

Generated on Saturday October 02, 2021 00:30:22 GMT-04:00 (Eastern Daylight Time)

3rd Quarter, 2021

July 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CPEX	75.17	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-23.377600000	-1.0346
CSFB	2.01	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-14.784038000	-14.7840
IEXG	4.03	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000
RAJA	18.79	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000

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CPEX	58.45	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-127.920400000	-0.5040
CSFB	13.16	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-971.319262000	-11.2765
IEXG	11.32	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000
RAJA	17.07	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000

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CPEX	81.37	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-29.730600000	-0.5714
CSFB	2.55	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-32.139243000	-9.7611
IEXG	1.57	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000
RAJA	14.51	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000

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Non-S&P 500 Stocks

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CPEX	55.89	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-158.698000000	-0.5499
CSFB	19.65	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-470.290473000	-9.3407
IEXG	10.22	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000
RAJA	14.24	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000

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CPEX	73.56	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-18.909800000	-0.4085
CSFB	8.62	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-50.109038000	-5.3546
IEXG	1.72	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000
RAJA	16.09	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000

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CPEX	68.58	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-78.905600000	-0.3357
CSFB	13.90	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-382.880242000	-8.1057
IEXG	3.10	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000
RAJA	14.43	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000