

Held NMS Stocks and Options Order Routing Public Report

Generated on Friday October 02, 2020 00:30:20 GMT-04:00 (Eastern Daylight Time)

3rd Quarter, 2020

July 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CPEX	72.71	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-6.870000000	-0.0253
CSFB	26.78	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-343.853600000	-11.2115
IEXG	0.51	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000

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CPEX	68.15	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-43.157600000	-0.0639
CSFB	28.93	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-52.924841600	-0.5165
IEXG	2.92	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-7.480800000	-9.0000

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100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CPEX	79.71	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-5.778400000	-0.0431
CSFB	19.01	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-62.916100000	-4.6867
IEXG	1.28	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-1.800000000	-9.0000

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CPEX	72.31	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-51.927600000	-0.1109
CSFB	19.69	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-443.115508000	-8.6615
IEXG	7.31	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-53.483400000	-9.0000
RAJA	0.69	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000

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CPEX	77.06	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-0.767800000	-0.0087
CSFB	20.18	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-211.111500000	-15.7845
IEXG	1.38	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-6.300000000	-9.0000
RAJA	1.38	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000

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CPEX	75.81	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-100.420600000	-0.1571
CSFB	18.73	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-1215.783134013	-13.9054
IEXG	5.46	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-28.894500000	-9.0000