

# Held NMS Stocks and Options Order Routing Public Report

Generated on Thursday April 02, 2020 00:31:39 GMT-04:00 (Eastern Daylight Time)

1st Quarter, 2020

January 2020

## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CPEX	24.18	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-40.818800000	-0.4127
CSFB	63.20	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-1126.088297000	-9.1243
IEXG	12.61	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-57.143700000	-9.0000

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## Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CPEX	25.88	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-103.671200000	-0.3218
CSFB	54.63	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-2835.649609000	-8.6550
IEXG	19.49	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-635.978100000	-8.9992

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February 2020

## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CPEX	37.53	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-11.086400000	-0.2064
CSFB	57.48	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-1566.431374000	-11.2471
IEXG	4.99	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-11.070000000	-9.0000

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February 2020

## Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CPEX	22.36	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-31.632600000	-0.1267
CSFB	66.32	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-2538.300843000	-10.2345
IEXG	11.32	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-79.055100000	-9.0000

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March 2020

## S&P 500 Stocks

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100.00	0.00	0.00	0.00	100.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CPEX	16.72	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-29.543800000	-0.3063
CSFB	78.07	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-2995.867582000	-10.8253
IEXG	5.20	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-34.636500000	-9.0000

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March 2020

## Non-S&P 500 Stocks

### Summary

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100.00	0.00	0.00	0.00	100.00

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CPEX	20.09	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-123.733200000	-0.4769
CSFB	69.34	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-8820.519746000	-9.6739
IEXG	10.57	0.00	0.00	0.00	100.00	0.000000000	0.0000	0.000000000	0.0000	0.000000000	0.0000	-349.646400000	-9.0000