

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

HSY

**USCA SECURITIES LLC
4444 WESTHEIMER RD
SUITE G500
HOUSTON, TX 77027**

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2017

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	91.19%
Limit orders as percentage of total limit orders	8.05%
Other orders as percentage of total other orders	0.76%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. VIRTU Americas LLC	36.48%
2. Citadel Securities LLC	34.02%
3. National Financial Services LLC	9.25%
4. Two Sigma Securities, LLC	6.48%
5. G1 Execution Services, LLC	4.06%
6. Instinet LLC	1.93%
7. BATS BYX Exchange	1.88%
8. New York Stock Exchange	1.63%
9. EDGX Exchange, Inc.	1.44%
10. BATS BZX Exchange	1.06%

Information Concerning Significant Venues :

1. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	37.41%
Limit orders as percentage of total limit orders	28.04%
Other orders as percentage of total other orders	14.58%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.13%
Limit orders as percentage of total limit orders	12.75%
Other orders as percentage of total other orders	6.25%

3. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.94%
Limit orders as percentage of total limit orders	1.57%
Other orders as percentage of total other orders	8.33%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.94%
Limit orders as percentage of total limit orders	1.76%
Other orders as percentage of total other orders	0.00%

5. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.24%
Limit orders as percentage of total limit orders	2.35%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.03%
Limit orders as percentage of total limit orders	0.98%
Other orders as percentage of total other orders	0.00%

7. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.06%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	18.82%
Other orders as percentage of total other orders	14.58%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	17.65%
Other orders as percentage of total other orders	2.08%

10. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.14%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	94.15%
Limit orders as percentage of total limit orders	5.58%
Other orders as percentage of total other orders	0.27%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. VIRTU Americas LLC	37.29%
2. Citadel Securities LLC	35.31%
3. National Financial Services LLC	9.27%
4. Two Sigma Securities, LLC	5.89%
5. G1 Execution Services, LLC	3.64%
6. BATS BYX Exchange	2.11%
7. Instinet LLC	2.02%
8. EDGX Exchange, Inc.	1.17%
9. BATS BZX Exchange	0.85%
10. WOLVERINE SECURITIES, LLC	0.63%

Information Concerning Significant Venues :

1. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.46%
Limit orders as percentage of total limit orders	19.35%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.36%
Limit orders as percentage of total limit orders	19.35%
Other orders as percentage of total other orders	0.00%

3. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.32%
Limit orders as percentage of total limit orders	8.87%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.16%
Limit orders as percentage of total limit orders	1.61%
Other orders as percentage of total other orders	0.00%

5. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.68%
Limit orders as percentage of total limit orders	3.23%
Other orders as percentage of total other orders	0.00%

6. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.25%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.15%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.97%
Other orders as percentage of total other orders	0.00%

9. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.32%
Other orders as percentage of total other orders	0.00%

10. WOLVERINE SECURITIES, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.67%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	88.24%
Limit orders as percentage of total limit orders	11.17%
Other orders as percentage of total other orders	0.60%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	42.05%
2. VIRTU Americas LLC	36.72%
3. Two Sigma Securities, LLC	6.39%
4. G1 Execution Services, LLC	4.50%
5. BATS BYX Exchange	3.22%
6. Instinet LLC	1.98%
7. NYSE Arca	1.75%
8. National Financial Services LLC	1.10%
9. UBS Securities, LLC	0.51%
10. U.S. CA Securities LLC	0.46%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.59%
Limit orders as percentage of total limit orders	31.69%
Other orders as percentage of total other orders	7.69%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.82%
Limit orders as percentage of total limit orders	37.86%
Other orders as percentage of total other orders	0.00%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.25%
Limit orders as percentage of total limit orders	7.82%
Other orders as percentage of total other orders	0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.95%
Limit orders as percentage of total limit orders	1.23%
Other orders as percentage of total other orders	0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.65%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.24%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.64%
Other orders as percentage of total other orders	0.00%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.15%
Limit orders as percentage of total limit orders	0.41%
Other orders as percentage of total other orders	7.69%

9. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.57%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. U.S. CA Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	76.92%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	87.54%
Limit orders as percentage of total limit orders	12.32%
Other orders as percentage of total other orders	0.13%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NASDAQ PHLX Options	32.92%
2. The Chicago Board Options Exchange	30.59%
3. BOX Option Exchange	10.50%
4. The MIAX Options Exchange	8.55%
5. NYSE Amex Options	4.34%
6. EDGX Option Exchange	3.21%
7. NASDAQ Options Market	2.87%
8. ISE Gemini Options Exchange	2.17%
9. BZX Options Exchange, Inc.	1.96%
10. NYSE Arca Options	1.55%

Information Concerning Significant Venues :

1. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.30%
Limit orders as percentage of total limit orders	23.48%
Other orders as percentage of total other orders	0.00%

2. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	32.83%
Limit orders as percentage of total limit orders	14.78%
Other orders as percentage of total other orders	20.00%

3. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.26%
Limit orders as percentage of total limit orders	5.22%
Other orders as percentage of total other orders	0.00%

4. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.66%
Limit orders as percentage of total limit orders	7.61%
Other orders as percentage of total other orders	20.00%

5. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.59%
Limit orders as percentage of total limit orders	2.39%
Other orders as percentage of total other orders	20.00%

6. EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.58%
Limit orders as percentage of total limit orders	0.65%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.55%
Limit orders as percentage of total limit orders	18.91%
Other orders as percentage of total other orders	40.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.61%
Limit orders as percentage of total limit orders	13.26%
Other orders as percentage of total other orders	0.00%

9. BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.38%
Limit orders as percentage of total limit orders	6.09%
Other orders as percentage of total other orders	0.00%

10. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.86%
Limit orders as percentage of total limit orders	6.52%
Other orders as percentage of total other orders	0.00%