

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

## **HSY**

**USCA SECURITIES LLC  
4444 WESTHEIMER RD  
SUITE G500  
HOUSTON, TX 77027**

### **SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2017**

#### **Securities Listed on New York Stock Exchange**

##### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	95.51%
Limit orders as percentage of total limit orders	3.69%
Other orders as percentage of total other orders	0.80%

##### **Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. KCG Americas LLC	41.76%
2. Citadel Securities LLC	40.45%
3. G1 Execution Services, LLC	4.09%
4. Two Sigma Securities, LLC	3.57%
5. BATS BYX Exchange	2.68%
6. Instinet LLC	2.04%
7. National Financial Services LLC	1.42%
8. UBS Securities, LLC	0.97%
9. BATS BZX Exchange	0.81%
10. EDGX Exchange, Inc.	0.68%

##### **Information Concerning Significant Venues :**

###### **1. KCG Americas LLC**

###### **Types of Orders Routed to Venue :**

Market orders as percentage of total market orders	43.07%
Limit orders as percentage of total limit orders	17.01%
Other orders as percentage of total other orders	0.00%

###### **2. Citadel Securities LLC**

###### **Types of Orders Routed to Venue :**

Market orders as percentage of total market orders	41.26%
Limit orders as percentage of total limit orders	22.45%
Other orders as percentage of total other orders	26.56%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.24%
Limit orders as percentage of total limit orders	1.02%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.67%
Limit orders as percentage of total limit orders	1.70%
Other orders as percentage of total other orders	0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.80%
Limit orders as percentage of total limit orders	0.34%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.13%
Limit orders as percentage of total limit orders	0.34%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.36%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	14.06%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.91%
Limit orders as percentage of total limit orders	1.70%
Other orders as percentage of total other orders	4.69%

9. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	22.11%
Other orders as percentage of total other orders	0.00%

10. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	17.69%
Other orders as percentage of total other orders	3.13%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	91.69%
Limit orders as percentage of total limit orders	7.51%
Other orders as percentage of total other orders	0.79%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	42.55%
2. Citadel Securities LLC	39.63%
3. G1 Execution Services, LLC	4.53%
4. Two Sigma Securities, LLC	3.20%
5. BATS BYX Exchange	2.09%
6. Instinet LLC	2.03%
7. BATS BZX Exchange	1.27%
8. UBS Securities, LLC	1.14%
9. National Financial Services LLC	1.01%
10. EDGX Exchange, Inc.	0.82%

### Information Concerning Significant Venues :

#### 1. KCG Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.15%
Limit orders as percentage of total limit orders	50.63%
Other orders as percentage of total other orders	12.00%

#### 2. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.94%
Limit orders as percentage of total limit orders	8.44%
Other orders as percentage of total other orders	68.00%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.84%
Limit orders as percentage of total limit orders	1.27%
Other orders as percentage of total other orders	0.00%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.42%
Limit orders as percentage of total limit orders	0.84%
Other orders as percentage of total other orders	0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.28%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.21%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	16.88%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.21%
Limit orders as percentage of total limit orders	0.42%
Other orders as percentage of total other orders	0.00%

9. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.07%
Limit orders as percentage of total limit orders	0.42%
Other orders as percentage of total other orders	0.00%

10. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.97%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE MKT or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	96.43%
Limit orders as percentage of total limit orders	3.25%
Other orders as percentage of total other orders	0.32%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	42.20%
2. KCG Americas LLC	39.99%
3. BATS BYX Exchange	5.59%
4. G1 Execution Services, LLC	3.54%
5. Two Sigma Securities, LLC	3.51%
6. Instinet LLC	2.08%
7. UBS Securities, LLC	0.85%
8. EDGX Exchange, Inc.	0.76%
9. NYSE Arca	0.41%
10. BNY Mellon Capital Markets LLC	0.38%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.58%
Limit orders as percentage of total limit orders	33.98%
Other orders as percentage of total other orders	10.00%

#### 2. KCG Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.85%
Limit orders as percentage of total limit orders	18.45%
Other orders as percentage of total other orders	0.00%

#### 3. BATS BYX Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.73%
Limit orders as percentage of total limit orders	1.94%
Other orders as percentage of total other orders	0.00%

#### 4. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.50%
Limit orders as percentage of total limit orders	4.85%
Other orders as percentage of total other orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.64%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.16%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.85%
Limit orders as percentage of total limit orders	0.97%
Other orders as percentage of total other orders	0.00%

8. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	22.33%
Other orders as percentage of total other orders	10.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	12.62%
Other orders as percentage of total other orders	0.00%

10. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.39%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	89.03%
Limit orders as percentage of total limit orders	10.90%
Other orders as percentage of total other orders	0.07%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NASDAQ PHLX Options	32.97%
2. The Chicago Board Options Exchange	31.36%
3. The MIAX Options Exchange	11.89%
4. BOX Option Exchange	6.96%
5. NYSE Amex Options	4.53%
6. EDGX Option Exchange	2.85%
7. NASDAQ Options Market	2.48%
8. ISE Gemini Options Exchange	2.20%
9. NYSE Arca Options	1.73%
10. BZX Options Exchange, Inc.	1.56%

### Information Concerning Significant Venues :

#### 1. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.75%
Limit orders as percentage of total limit orders	18.64%
Other orders as percentage of total other orders	0.00%

#### 2. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	32.83%
Limit orders as percentage of total limit orders	19.09%
Other orders as percentage of total other orders	66.67%

#### 3. The MIAX Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.19%
Limit orders as percentage of total limit orders	9.32%
Other orders as percentage of total other orders	33.33%

#### 4. BOX Option Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.54%
Limit orders as percentage of total limit orders	2.27%
Other orders as percentage of total other orders	0.00%

5. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.95%
Limit orders as percentage of total limit orders	1.14%
Other orders as percentage of total other orders	0.00%

6. EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.03%
Limit orders as percentage of total limit orders	1.36%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.50%
Limit orders as percentage of total limit orders	18.64%
Other orders as percentage of total other orders	0.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.09%
Limit orders as percentage of total limit orders	11.36%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.72%
Limit orders as percentage of total limit orders	10.00%
Other orders as percentage of total other orders	0.00%

10. BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.97%
Limit orders as percentage of total limit orders	6.36%
Other orders as percentage of total other orders	0.00%